



CORPORATE ADVISORY

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2019/20 LEAD BROKER TRANSACTIONS

In 2019/20 Baker Young's Corporate Advisory led and participated in over \$55 million in capital raising

We deliver strategic growth for listed and unlisted companies through advice, networks, capital raisings and shareholder engagement to ensure they realise their full market potential.

Our Lead Broker transactions for this financial year include:

Company name	Sector	BY lead action	AUD \$	ASX code
Alexium International Group Limited	Chemicals	Placement of shares and entitlements	\$22.3 million	AJX
Noxopharm Limited	Biotech	Placement of shares	\$8.1 million	NOX
Free Eyre Limited / Peninsula Ports Pty Ltd	Infrastructure	Placement of shares and convertible notes	\$5 million	Unlisted
Novatti Group Limited	Flntech	Placement of convertible notes	\$3.5 million	NOV
Rotogro Limited	AgriTech	Placement of shares	\$2.3 million	RGI
3D Resources Limited	Mining/minerals	Placement of shares	\$2.2 million	DDD
Paterson Resources Limited	Mining/minerals	Entitlement issue and shortfall placement	\$1.9 million	PSL
Nutritional Growth Solutions Limited	Consumer product	Placement of convertible notes	\$1.5 million	Unlisted
Portalink Pty Ltd	Fintech	Placement of shares	\$1.25 million	Unlisted
Advanced Breaking Technology Limited	Industrial	Placement of convertible notes	\$1 million	ABV
Lindian Resources Limited	Mining/minerals	Placement of shares	\$0.5 million	LIN



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